

SELF-CERTIFICATE STATEMENTS

Statement for Certified High Net Worth Individual

I declare that I am a certified high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2001.

I understand that this means:-

- a. I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority;
- b. The content of such financial promotions may not conform to rules issued by the Financial Services Authority;
- c. **By signing this statement I may lose significant rights;**
- d. I may have no right to complain to either of the following:-
 - (i) The Financial Services Authority; or
 - (ii) The Financial Ombudsman Scheme;
- e. I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a certified high net worth individual because **at least one of the following applies:-**

- a. I had, during the financial year immediately preceding the date below, an annual income to the value of £100,000 or more;
- b. I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include:-
 - (i) the property which is my primary residence or any loan secured on that residence;
 - (ii) any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or
 - (iii) any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be, entitled.

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

SIGNATURE:	
PRINT NAME:	
DATE:	